

12-17-01

Docket No: AM100315  
Patent

A

JC951 U.S. PTO  
11/02/01  
Commissioner for Patents  
Box PATENT APPLICATION  
Washington, DC 2023110/016418  
Jesse U.S. PTO  
11/02/01NEW APPLICATION FOR TRANSMITTAL

Transmitted herewith for filing is the patent application of the following Inventor(s):  
 Gregory Scott WELMAKER; Joan Eileen SABALSKI; Michael David SMITH

For: PROCESS FOR THE PREPARATION OF 1, 2, 3, 4, 8, 9, 10, 10a-OCTAHYDRO-7bH-CYCLOPENTA[b][1, 4]DIAZEPINO[6, 7, 1-hi]INDOLE DERIVATIVES.

## 1. Papers enclosed which are required for a filing date under 35 CFR 1.53(b):

- Pages of specification – 22 pages  
 Sequence Listing –      pages on:  
    CD Rom or CD-R (2 copies); or  
    paper  
 Pages of claims – 9 pages  
 Page(s) of abstract – 1 pages  
 Sheets of drawing –      pages  
    Formal  
    Informal

## 2. Additional papers enclosed

- Information Disclosure Statement  
 Form PTO-1449  
 Citations  
 Declaration of Biological Deposit  
 Computer Readable Form of Sequence Listing  
 Declaration Under 37 CFR 1.821(f)  
 Other: Data Form

## 3. Declaration

- Enclosed and executed by all inventor(s)  
 Not enclosed or not executed by all inventor(s)

**CERTIFICATE OF MAILING 37 CFR §1.10**

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EM473699655US addressed to the Commissioner for Patents, Box PATENT APPLICATION, Washington, DC 20231.

11-02-01  
Date

John R. Barker  
John R. Barker

## 4. Assignment

An assignment of the invention to:

American Home Products Corporation  
Five Giralda Farms  
Madison, NJ 07054-0874

- was made in the prior application and recorded in PTO on Reel , Frame  
 is attached under separate Recordation Form Cover Sheet.  
 will follow.

## 5. Filing Fee Calculation

<b>CLAIMS FEE</b>				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA x RATE	(4) BASIC FEE	
TOTAL CLAIMS	15	0	x \$ 18.00	\$710.00
INDEPENDENT CLAIMS	4	1	x \$ 84.00	\$84.00
MULTIPLE DEPENDENCY FEE			\$ 280.00	
<b>Total Filing Fee:</b>				<b>\$794.00</b>

## 6. Method of Payment of Fees:

Charge Deposit Account No. 01-1425 in the amount of \$794.00.

A duplicate of this transmittal is attached.

## 7. Instructions as to Overpayment:

Credit any overpayment to Deposit Account No. 01-1425.

## 8. General Authorization:

During the pendency of this application treat any reply requiring a petition for extension of time for its timely submission as containing a request therefor for the appropriate length of time. The Commissioner is hereby authorized to charge all required extension of time fees during the entire pendency of this application to Deposit Account No. 01-1425.

9. Authorization to Charge Additional Fees

- The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 01-1425
- 37 CFR 1.16(a), (f), or (g) filing fees
- 37 CFR 1.16(b), (c), and (d) presentation of extra claims
- 37 CFR 1.16(e) surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application.
- 37 CFR 1.17 application processing fees

10. Relate back (35 USC 119(e))

- Amend the Specification by inserting before the first line the sentence:

—This application claims priority from copending provisional application(s) serial number 60/ filed on —

11. REQUEST AND CERTIFICATION UNDER 35 U.S.C 122(b)(2)(B)(i).

A request not to publish this application and certification under 35 U.S.C. 122(b)(2)(B)(i) is attached.

12. Correspondence Address and Telephone Number

SEND CORRESPONDENCE TO:

Customer Number: 25291

Bar Code:



DIRECT ALL TELEPHONE CALLS TO:

Name: Steven R. Eck

Tel. No. (610) 902-2628

13.  Return Receipt Postcard is attached.

A handwritten signature of Steven R. Eck in black ink, appearing to read "Steven R. Eck".

Steven R. Eck  
November 1, 2001  
Reg. No. 36,126

American Home Products Corporation  
Patent Law Department  
Five Giralda Farms  
Madison, NJ 07940-0874  
Tel. No. (610) 902-2628